TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 20599.002

In Re Application: **Ferguson, et al.**

Serial No.	Filing Date	Examiner	Group Art Unit

FINNED TUBE WATER HEATER

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Sarah Horner

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Chas n. Dunn

Signature

Dated: **October 8, 2003**

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Title: **FINNED TUBE WATER HEATER**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

   ☐ the statement specified in 37 CFR 1.97(e);

   OR

   ☐ the fee set forth in 37 CFR 1.17(p).

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)	Application Number
20599.002	
Applicant(s) Ferguson, et al.	
Filing Date	**Group Art Unit**

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,465,024	08/14/84	Adams	122	17	09/23/83
	AB	4,545,329	10/08/85	Adams	122	17	08/13/84
	AC	4,938,204	07/03/90	Adams	126	360	08/18/89

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES	Translation NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AD	Patent Publication No. 20030066632, filed April 10, 2003, to Charles J. Bishop, et al. entitled "Corrosion-Resistant Heat Exchanger", Class/Subclass - 165/133

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.